



Jignesh Dudhat & Associates

• Company Secretaries • Trade Mark Consultant • Corporate Law
Office: 6, Navdeep Complex, Subhash Chowk, Memnagar, Ahmedabad-52
(Mo.) 9067832546, E. Id:- dudhatcs@gmail.com

Secretarial compliance report of Tirupati Finlease Limited for the year ended 31st March 2020

I Jigneshkumar Dudhat, Company Secretary have examined:

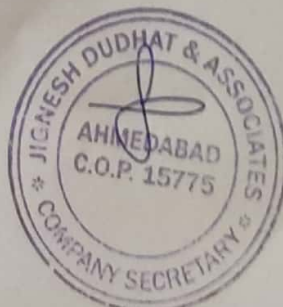
- all the documents and records made available to us and explanation provided by **Tirupati Finlease Limited** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31-03-2020 ('Review Period') in respect of compliance with the provisions of :

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the **Securities Contracts (Regulation) Act, 1956** ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;



(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.

(b) Tirupati Finlease Limited, the listed entity do have the paid up capital less than Rs 10 Crores and networth less than 25 Crores and hence the compliance with the corporate governance provision as specified in SEBI (LODR) Regulation 17,17A, 18,19,20,21,22,23,24,24A, 25,26,27 and clause (b) to (i) of sub regulation (2) of regulation 46 and para C, D and E of Schedule V is not applicable to the entity.

(c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Detailsof violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/remarks of thePracticing CompanySecretary, if any.
1	BSE Limited	Non- Compliance of Regulation 6(1) of SEBI (LODR) Regulation 2015	Fine	The company has complied the said Non – Compliance by appointing the Qualified Company Secretary as on 20-03-2020



(e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Regarding Non Appointment of Company Secretary FY 2018-19	2019	Company has appointed company secretary as on 20.03.2020.	Company has complied the said non-compliance

Place : Ahmedabad
Date: 14.06.2020



Signature

Mr. Jigneshkumar Dudhat,
Company Secretary
CP No. 15775
Membership No. A42694
UDIN: A042694B000341190